

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL		Application Number		09/851,856		
		Filing Date		May 9, 2001	ect 1	
FORM						
(to be used for all correspondence after initial filing)		First Named Invento	r	Robert Mays, Jr.	A6olouque 2 J∃C 1	
		Group Art Unit		2633		
		Examiner Name		unassigned	20 0	
Total Number of Pages in This Submission		Attorney Docket Num	ber	MYS-01-02I09	1 2001 Center 260	
ENCLOSURES (check all that apply)						
Fee Transmittal Form	Assigni	ment Papers Application)		After Allowance Com to Group	munication	
Fee Attached	Drawin	••		Appeal Communication Appeals and Interfere	on to Board of	
Amendment / Reply	Licensing-related Papers			Appeal Communication	on to Group	
After Final	Petition			(Appeal Notice, Brief, Re	• •	
Affidavits/declaration(s)	Petition	Petition to Convert to a		Status Letter		
Power		onal Application of Attorney, Revocation	X	Other Enclosure(s) (please	
Extension of Time Request Change Address		e of Correspondence s		identify below):		
Express Abandonment Request	Termin	al Disclaimer	1	orm 1449 with 24 refere		
Information Disclosure Statement Reques		st for Refund	C. E	eturn Receipt Postcard Brooks	to Kenneth	
Certified Copy of Priority Document(s)	CD, Nu	imber of CD(s)				
Response to Missing Parts/ Remarks						
Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53						
under 37 CFK 1.32 0F1.33						
SIGNAT	URE OF APPLI	CANT, ATTORNEY, O	R AGE	NT		
Firm Law Office of Kenneth C. Brooks						
Individual name						
Signature Timeth Such						
Date 11/9/0/						
CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:						
Type or printed name						
	non	Đ	ate	11.9.01		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



6AU 263

HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Mays, Jr.

PATENT APPLICATION

Serial No.: 09/851,856

Group Art Unit: 2633

Filing Date: May 9, 2001

Examiner: unassigned

For: FILTERING TECHNIQUES FOR FREE SPACE COMMUNICATION

Information Disclosure Statement

Hon. Commissioner for Patents

Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. A copy of each is enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to charge such fee to Deposit Account No. 500345. Please charge any additional fees or credit any overpayment to the above-noted Deposit Account.

PATENT DOCUMENTS

Patent Number	<u>Inventor</u>	Grant Date
4,057,319	Ash et al.	Nov. 8, 1977
√4,710,605	Presby	Dec. 01, 1987
√4,817,207	Smith et al.	Mar. 28, 1989
√4,932,989	Presby	Jun. 12, 1990
_√ 4,953,954	Ewbank et al.	Sep. 4, 1990
√5,011,254	Edwards et al.	Apr. 30, 1991
√5,140,657	Thylen	Aug. 18, 1992
√5,256,851	Presby	Oct. 26, 1993
5,446,816	Shiraishi et al.	Aug. 29, 1995



Patent Number	Inventor	Grant Date
√5,515,194	Kanterakis et al.	May 7, 1996
√5,677,778	Kanterakis et al.	Oct. 14, 1997
√ 5,699,464	Marcuse et al.	Dec. 16, 1997
√5,772,720	Taira-Griffin et al.	Jun. 30, 1998
√5,809,198	Weber et al.	Sep. 15, 1998
√5,832,147	Yeh et al.	Nov. 3, 1998
∠ 5,935,288	DiGiovanni et al.	Aug. 10, 1999
V 6,072,579	Funato	Jun. 6, 2000
6,246,026	Vergeest	Jun. 12, 2001

INTERNATIONAL PUBLISHED APPLICATIONS

Publication Number	Inventor	Publication Date
✓ WO 99/60192	Brown	Nov. 25, 1999
WO 99/59766	Brown	Nov. 25, 1999
√WO 00/02700	Hoetzel	Jan. 20, 2000
√WO 00/05026	Stiftung	Feb. 3, 2000
√WO 00/28359	Feth et al.	May 18, 2000
∠WO 00/32327	Meyer et al.	Jun. 8, 2000

I hereby certify that this correspondence is being Deposited with the Untied States Postal Service as Fist Class mail in an envelope addressed to: Non Fee Amendment Assistant Commissioner for Patents,

Washington, D.C. 20231,

Respectfully submitted,

Kenneth C. Brooks Reg. No. 38,393